# Phason Engineering in Aperiodic Resonant Structures

## Emil Prodan

#### Yeshiva University, New York, USA

## Workshop: Quasi-periodic spectral and topological analysis Jan 2021

Work supported by the W.M Keck Foundation and NSF (DMR-1823800)

< □ > < 同 > < 回 > < 回 > < 回 >

# What is the phason of a generic pattern?



Emil Prodan (Yeshiva University)

Phason Engineering

May 2021 2 / 20

▲ □ ▶ ▲ 三 ▶ ▲ 三

## A Closer Look at Patterns



#### Observations:

- Clearly we have a natural degree of freedom, φ, which lives on a circle
- Changes of  $\phi$  produce global changes of the pattern
- If we shift the pattern rigidly such that point a sits at the origin

$$\{x_n\}_{n\in\mathbb{Z}}\mapsto\{x'_n\}_{n\in\mathbb{Z}},\quad x'_n(\phi)=x_{n+a}(\phi)$$

this is equivalent to  $\phi \mapsto \phi + a\theta$ .

Let's call  $\phi$  the phason of the pattern.

## What if the algorithm is unknown?



#### For this particular pattern,

there is a relation between the dynamical system ( $\mathbb{S}^1 = \mathbb{R}/\mathbb{Z}$  is the circle)

$$\sigma_n: \mathbb{S}^1 \to \mathbb{S}^1, \quad \sigma_n(\phi) = (\phi + n\theta) \mod 1$$

and the rigid shifts of the pattern which gets every point to sit at the origin once.

Question: Can we see this circle from the pattern, without knowing the algorithm?

#### Astonishingly (at least to me): YES!

A D N A B N A B N A B N

## Detecting the Phason Space from the Pattern



Obvious facts about pattern  $\mathcal{P} = \{x_n\}_{n \in \mathbb{Z}}$ :

- If  $x_0 = 0$ ,  $\mathcal{P}$  can be reproduced from the sequence  $\{d_n\}_{n \in \mathbb{Z}}$ .
- Hence, *P* is a point of [0,1]<sup>∞</sup>.
- If *P* is rigidly shifted

$$\mathcal{P} \rightarrow \tau_a(\mathcal{P}) = \{ d_{n-a} \}_{n \in \mathbb{Z}}, \quad a \in \mathbb{Z},$$

such that each point sits once at the origin, then  $\mathcal{P}$  traces a shape in  $[0,1]^{\infty}$ .

• This shape  $\Xi$  together with the action  $\tau$  of  $\mathbb{Z}$  form a dynamical system  $(\Xi, \tau)$ .

#### Statement:

 $(\Xi, \tau)$  and  $(\mathbb{S}^1, \sigma)$  are identical as dynamical systems.

Emil Prodan (Yeshiva University)

Phason Engineering

Seeing is Believing

$$x_n(\phi) = n + 0.4 \sin (2\pi (n\theta + \phi)), \quad \theta \neq \mathbb{Q}$$



 $x_n(\phi_1,\phi_2) = n + 0.2 \sin\left(2\pi(n\theta_1 + \phi_1)\right) + 0.2 \sin\left(2\pi(n\theta_2 + \phi_2)\right), \quad \theta_1, \ \theta_2, \ \theta_1/\theta_2 \neq \mathbb{Q}$ 



## Phason Space and Phason Defined

Facts for patterns in  $\mathbb{R}^d$ :

- The pattern can be re-constructed from the vectors connecting near-neighbors
- Hence, the pattern is again a point in [0, 1]<sup>∞</sup>
- If *P* is rigidly shifted

$$\mathcal{P} \to \mathcal{P} - p, \quad p \in \mathcal{P},$$

such that each point sits once at the origin, then  $\mathcal{P}$  traces a shape in  $[0,1]^{\infty}$  (in  $\mathbb{R}^d$ , [0,1] becomes the space of Voronoi cells)

Then

The phason is the shape  $\Xi$  traced by this process (its closure, more precisely)

• When the points labeled by  $\mathbb{Z}^d$ , there is more to it, namely,

a whole dynamical system  $(\Xi, \tau)$ .

#### Computing $\Xi$ is notoriously hard:

- For quasi-crystals, Ξ's are Canorized tori.
- For quasi-periodic patterns, Ξ's are tori.

#### Phason Engineering

# Why is this interesting for a meta-material scientist?

Emil Prodan (Yeshiva University)

< □ > < 同 > < 回 > < 回 > < 回 >

## Resolving the Dynamics



#### Facts and Assumptions:

- The resonators are identical.
- The (linearized) dynamics takes place in the Hilbert space spanned by |n
  angle
- The generic dynamical matrices look like:

$$D = \sum_{m,n} h_{m,n}(\mathcal{P}) |m\rangle \langle n|$$

Once the resonators are chosen, the coupling matrices h<sub>n,m</sub> are entirely determined by the pattern (i.e. they are functions of P ∈ [0, 1]<sup>∞</sup>).

#### Can we sort things out at such general setting?

## YES, because the algebra of these D's is Small

#### Magical Facts:

• Galilean invariance implies:

$$h_{m,n}(\mathcal{P}) = h_{m-a,n-a}(\tau_a \mathcal{P}), \quad a \in \mathbb{Z}.$$

• Taking a = n, one index can be dropped and:

$$D = \sum_{q} S^{q} \sum_{n} h_{q}(\tau_{n} \mathcal{P}) |n\rangle \langle n|, \quad S|n\rangle = |n+1\rangle.$$

#### Conclusion:

All Galilean invariant dynamical matrices belong to the algebra generated by S and commuting diagonal operators:

$$T_f = \sum_n f(\tau_n \mathcal{P}) |n\rangle \langle n|,$$

with f defined over the phason space  $\Xi$ . They obey the commutation relations:

 $T_f S = S T_{f \circ \tau_1}$ 

## Let's Compute one this Algebra for 1D Quasi-Periodic Patterns

#### For ALL cases where

$$\Xi = \mathbb{R}/\mathbb{Z}, \quad \tau_a(x) = (x + a\theta) \mod 1$$

the following apply:

• Since f can Fourier decomposed, all  $T_f$ 's are generated from:

$$T = \sum_{n} e^{i2n\pi\theta} |n\rangle \langle n|$$

The commutation relation is:

$$TS = e^{i2\pi\theta}ST.$$

#### Conclusion:

No matter how wild the pattern is, if  $\Xi$  is a circle, the dynamical matrix belongs to the algebra of magnetic translations in 2D which generates IQHE

	11 1 1 1. A
Emil Produ	I Iniversity/

イロト イポト イヨト イヨ

## Not Convinced? Let's Compute the Spectrum of a Generic Hamiltonian



Take:

$$D = \sum_{p,p' \in \mathcal{P}} W(|p - p'|) |p\rangle \langle p'| \quad \text{[numerically } W(x) = e^{-3|x|}]$$



Emil Prodan (Yeshiva University)

Phason Engineering

## How about this?



Take:

$$D = \sum_{p,p' \in \mathcal{P}} W(|p - p'|) |p\rangle \langle p'| \quad \text{[numerically } W(x) = e^{-3|x|}]$$



## The Hull of the Incommensurate Bilayer [E.P. et al, JGP 2019]



As a result:

- $\Xi = \mathbb{R}/(1+\theta)\mathbb{Z}$  and  $\tau$  is the shift by  $\theta$ .
- The algebra of the *D*'s is generated by:

$$T S = e^{i2\pi\theta'}S T, \quad \theta' = \theta/(1+\theta)$$

イロト イボト イヨト イヨ

Can we understand the spectrum of  $D = \sum_{p,p' \in \mathcal{P}} W(|p - p'|) |p\rangle \langle p'|$  over this pattern??



< □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > <

The pattern was generated with the circle algorithm (but using a strong deforming lens!):



Hence, the phason space is again the circle.

< ロ > < 同 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 > < 0 >

## The Answer is Clear



▲ ■ ▶ ■ シ۹ペ May 2021 17/20

< □ > < □ > < □ > < □ > < □ >

# Generic Algorithm for Quasi-Periodic Structures



$$\mathbb{T}^{d'} = \mathbb{R}^{d'}/\mathcal{L}', \quad au_{\mathbf{n}}(\boldsymbol{\phi}) = \left(\boldsymbol{\phi} + \sum_{i=1}^{d} n_{i} \boldsymbol{a}_{i}\right) \operatorname{mod} \mathcal{L}', \quad \boldsymbol{\phi} \in \mathbb{T}^{d'}, \quad \boldsymbol{a}_{i} \in \mathbb{R}^{d} \subseteq \mathbb{R}^{d'}$$

If  $F: \mathbb{T}^{d'} \to \mathbb{R}^d$  is a continuous map and

$$\mathcal{P}_{\phi} = \{ \boldsymbol{p}_{\boldsymbol{n}}(\phi) \}_{\boldsymbol{n} \in \mathbb{Z}^d}, \quad \boldsymbol{p}_{\boldsymbol{n}}(\phi) = \boldsymbol{p}_0 + \sum_{i=1}^d n_i \boldsymbol{a}_i + F(\tau_{\boldsymbol{n}}(\phi)), \quad \phi \in \mathbb{T}^{d'}$$

< □ > < □ > < □ > < □ > < □ >

## 2D Examples (Spectra computed with $D = \sum_{x,x' \in \mathcal{P}} e^{-|x-x'|} |x\rangle \langle x'|$ )



Emil Prodan (Yeshiva University)

May 2021 19 / 20

< □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > <

### QHE in higher dimensions from Patterning

In this case  $\Xi = \mathbb{T}^{d'}$ , so we have

- d' number of  $T_f$ 's,  $T_1, \ldots, T_{d'}$  (Fourier transform over d'-torus)
- d number of space shifts,  $S_1 = T_{d'+1}, \dots S_d = T_{d'+d}$ .

Fact:

$$T_i T_j = e^{i2\pi\theta_{ij}} T_j T_i \tag{1}$$

< □ > < 同 > < 回 > < Ξ > < Ξ

with the matrix  $\Theta = \{\theta_{ij}\}$  fully determined by the two lattices  $\mathcal{L}$  and  $\mathcal{L}'$ . Specifically, if A is the transformation matrix,  $\mathbf{a}_j = \sum_{i=1}^{d'} A_{ji} \mathbf{a}'_i$ ,  $j = \overline{1, d}$ , then

$$\theta_{ij} = -\theta_{ji} = A_{ji}, \quad i = \overline{1, d'}, \quad j = \overline{1, d},$$
(2)

and zero for the rest of the indices.

#### Conclusion: We are generating QHE in higher dimensions!